

Technical Exhibit C-5.4.3

“Information Concerning Retirement With Pay (RET-1) Letter, With Enclosures (1) Reserve Retirement Transfer Request (CG-HRSIC-2055A, (2) How To Apply For Retired Pay & Survivor Benefit Plan Guide (available using the Internet Link) and (3) Annual Point Statement (CG-4175A)”

RESERVE RETIREMENT TRANSFER REQUEST

Section I - Completed by Member: Complete Blocks 1-14 & submit this form at least **90 days** prior to desired transfer date.
 Please Print or Type

1. Name (last, first, MI):	2. SSN:	3. Rank/Rate:
4. Permanent Duty Station & OPFAC/Department ID: Unit: OPFAC: Department ID:	5. Current Home Address (Street, Apt #, City, State, Zip): Address Change Requested? Yes <input type="checkbox"/> No <input type="checkbox"/>	
6. I Request Transfer To: <input type="checkbox"/> RET-2 (Retired Awaiting Pay at Age 60) Transfer is effective on the 1 st day of month requested. Effective Month/Year of Transfer: <input type="checkbox"/> RET-1 (Retired with Pay) Transfer is effective on your 60 th birthday	Note: No Drills or ADT will be authorized or approved after the above Effective Date of Transfer.	
7. I plan to drill/have drilled on the following dates and status:		
	Dates	ADT-AT/ADSW-AC/etc
8. Expiration of Enlistment date (if known):	9. Highest Paid Paygrade Held:	
10. Home Telephone Number: Work Telephone Number:	If you HAVE NOT received your "20" year Satisfactory Service Letter, ensure you meet the requirements for retirement prescribed in Chapter 8-C-1 of the Reserve Policy Manual COMDINST M1001.28	
11. <input type="checkbox"/> Yes, I Do wish to have a retirement ceremony (see Instructions for Block 11 on reverse) Date of Ceremony: <input type="checkbox"/> No, I Do Not want a retirement ceremony	12. I Do <input type="checkbox"/> Do Not <input type="checkbox"/> have a spouse. My spouses name on her/his certificate of appreciation should read:	
13. Member's Signature:	14. Date:	

Section II - Recommendation

15. COMMAND RECOMMENDATION:

Approved for transfer to RET-2 _____ RET-1 _____
 Disapproved for transfer to RET-2 _____ RET-1 _____

Reason: _____

Supervisor Signature (Include Name, Rank, Title) _____ Date _____

Unit POC Email Address for acknowledgement receipt of this form. HRSIC (RAS) will send acknowledgement receipt only if you supply an email address.

16. ISC (pf) _____ RECOMMENDATION:

Approved for transfer to RET-2 _____ RET-1 _____
 Disapproved for transfer to RET-2 _____ RET-1 _____

Reason: _____

Signature (Include Name, Rank, Title) _____ Date _____

17. CGPC (rpm) RECOMMENDATION: (Officers & IRR only)

Approved for transfer to RET-2 _____ RET-1 _____
 Disapproved for transfer to RET-2 _____ RET-1 _____

Reason: _____

Signature (Include Name, Rank, Title) _____ Date _____

2. 10/1/02 (2)

Instructions

Item	Explanation
1.	Enter your Full Name: Last, first and middle Initial
2.	Enter your Social Security Number
3.	Enter your rank or rate, i.e. LCDR, YN2, PSC, etc.
4.	Enter your Permanent Duty Station (include staff symbol), (i.e. STA Rockland, MSO Houston, MLC PAC (lc) & OPFAC/DEPARTMENT ID)
5.	Enter current Home Address: Street, Apt#, P.O. Box, City, State, Zip. Check box if you desire to have your LES address changed to address indicated in Block 5.
6.	Indicate what type of retirement transfer you are requesting and its effective month & year.
7.	Enter planned dates of drills or ADT you will complete prior to your effective retirement transfer date.
8.	Enter your current Expiration of Enlistment Date. If your EOE expires prior to date of requested transfer see your unit Admin Staff to extend EOE.
9.	Enter the highest paid paygrade held. (i.e., If your Rank is W2 and you were promoted from E8, enter E8 in this block.)
10.	Enter home and work phone numbers.
11.	Enter your desire for a retirement ceremony and its effective date. If yes, contact your unit Admin Staff to coordinate date, location, and details for your retirement ceremony.
12.	Enter spouse information for spouse certificate of appreciation.
13.	Sign the form.
14.	Date the form.
15.	Command Recommendation.
16.	ISC Recommendation. Distribution: Original form to HRSIC/RAS (ONLY if request is for SELRES Enlisted member. Otherwise forward original form to CGPC (rpm) for recommendation.) ISC (pf) - Copy Member's Unit - Copy
	CGPC (RPM) Recommendation (Officers & IRR only) Distribution: HRSIC/RAS - Original form ISC (pf) - Copy Member's Unit - Copy

PRIVACY ACT STATEMENT

In accordance with 5 USC Section 522a(3)(3), the following information is provided to you when supplying personal information to the U.S. Coast Guard:

Authority - 10 USC Section 1771.

Principal Purposes(s) - Used to indicate a member's retirement plans.

Routine uses - Same.

Disclosure - Disclosure of this information is voluntary, although without disclosure the member's career intentions may not be known which may cause document and pay processing problems.

U. S. COAST GUARD RESERVE
RETIREMENT POINT STATEMENT

11/22/2002

STATEMENT FOR TRANSFER TO ISL OR RETIREMENT CG-4175A

MEMBER'S SSN: [REDACTED]
RANK: [REDACTED]
PERMANENT UNIT: 11-87600
REPORTING UNIT: 53-47400-80

BIRTH DATE: [REDACTED]
PAY BASE DATE: 11/25/1975
ANNIVERSARY DATE: 99/99
20 YEAR LETTER DATE: 12/08/1997
SOCS DATE:
RCSBP ELECTION DATE:
RCSBP ELECTION CODE:

CARL [REDACTED]
[REDACTED]
IRVINE, CA [REDACTED]

RECORD OF DUTY PERFORMED FROM 11/25/2001 THRU 10/31/2002

	PTS	DUTY	PAY		PTS	DUTY	PAY
08/19/2002 - 08/24/2002	6	OTD	YES	04/07/2002 - 04/07/2002	2	IDT	YES
08/12/2002 - 08/17/2002	6	OTD	YES	04/06/2002 - 04/06/2002	2	IDT	YES
08/11/2002 - 08/11/2002	2	IDT	YES	03/10/2002 - 03/10/2002	2	IDT	YES
08/10/2002 - 08/10/2002	2	IDT	YES	03/09/2002 - 03/09/2002	2	IDT	YES
07/21/2002 - 07/21/2002	2	IDT	YES	02/08/2002 - 02/08/2002	2	IDT	YES
07/20/2002 - 07/20/2002	2	IDT	YES	01/20/2002 - 01/20/2002	2	IDT	YES
06/02/2002 - 06/02/2002	2	IDT	YES	01/19/2002 - 01/19/2002	2	IDT	YES
06/01/2002 - 06/01/2002	2	IDT	YES	12/18/2001 - 12/18/2001	1	IDT	YES
05/18/2002 - 05/18/2002	2	IDT	YES	12/17/2001 - 12/17/2001	1	IDT	YES
05/12/2002 - 05/12/2002	2	IDT	YES	12/09/2001 - 12/09/2001	2	IDT	YES
05/11/2002 - 05/11/2002	2	IDT	YES	12/08/2001 - 12/08/2001	2	IDT	YES

DRILL POINTS OTD = 12 IDT = 38

NO CORRESPONDENCE COURSES COMPLETED FROM 11/25/2001 THRU 10/31/2002

POINTS EARNED FROM 11/25/2001 THRU 10/31/2002

DRILL POINTS:	38	FUNERAL DUTY POINTS:	0
CORRESPONDENCE COURSE POINTS:	0	INACTIVE DUTY ADJUSTED POINTS:	52
MEMBERSHIP POINTS:	14	ACTIVE DUTY POINTS:	12
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INACTIVE DUTY POINTS:	52	TOTAL POINTS THIS PERIOD:	64

	CORRESP COURSES	DRILL DUTY	MEMBER SHIP	SUB TOT	ADJ TOT	FUNERAL DUTY	ACDU ACDUTRA	TOTAL
COAST GUARD RESERVE								
11/25/2001 - 10/31/2002	0	38	14	52	52	0	12	64
11/25/2000 - 11/24/2001	0	59	15	74	74	0	12	86
11/25/1999 - 11/24/2000	0	44	15	59	59	0	12	71
11/25/1998 - 11/24/1999	0	48	15	63	63	0	12	75
11/25/1997 - 11/24/1998	0	42	15	57	57	0	12	69
11/25/1996 - 11/24/1997	0	52	15	67	67	0	15	82
11/25/1995 - 11/24/1996	0	54	15	69	69	0	12	81
11/25/1994 - 11/24/1995	0	36	15	51	51	0	12	63
11/25/1993 - 11/24/1994	0	46	15	61	60	0	12	72
11/25/1992 - 11/24/1993	12	49	15	76	60	0	12	72
11/25/1991 - 11/24/1992	0	45	15	60	60	0	13	73
11/25/1990 - 11/24/1991	0	51	15	66	60	0	14	74
11/25/1989 - 11/24/1990	0	38	15	53	53	0	12	65
11/25/1988 - 11/24/1989	0	22	15	37	37	0	0	37
11/25/1987 - 11/24/1988	4	48	15	67	60	0	13	73
11/25/1986 - 11/24/1987	0	45	15	60	60	0	13	73
11/25/1985 - 11/24/1986	0	42	15	57	57	0	17	74
11/25/1984 - 11/24/1985	0	46	15	61	60	0	24	84
11/25/1983 - 11/24/1984	0	22	15	37	37	0	0	37
11/25/1982 - 11/24/1983	12	46	15	73	60	0	5	65
11/25/1981 - 11/24/1982	0	47	15	62	60	0	34	94
11/25/1980 - 11/24/1981	0	29	15	44	44	0	150	194
11/25/1979 - 11/24/1980	12	52	15	79	60	0	12	72
11/25/1978 - 11/24/1979	0	42	15	57	57	0	98	155
11/25/1977 - 11/24/1978	20	30	15	65	60	0	91	151
11/25/1976 - 11/24/1977	42	32	15	89	60	0	64	124
11/25/1975 - 11/24/1976	27	42	15	84	60	0	13	73

TOTAL SATISFACTORY SERVICE: 24 YEARS, 11 MONTHS, 06 DAYS. 2,253 POINTS.

THIS IS YOUR ANNUAL RESERVE RETIREMENT POINTS STATEMENT. IT SHOWS ALL RESERVE RETIREMENT POINTS YOU HAVE EARNED DURING YOUR MILITARY CAREER. THIS DOES NOT INCLUDE POINTS FROM YOUR CURRENT ANNIVERSARY YEAR.

IF YOU EARNED FEWER THAN 50 TOTAL POINTS IN ANY ONE ANNIVERSARY YEAR, THAT YEAR WILL NOT BE CREDITED FOR SATISFACTORY SERVICE FOR RETIREMENT. THE MAXIMUM NUMBER OF POINTS YOU CAN EARN FOR IDT IS 90 FOR ANY ANNIVERSARY YEAR ENDING ON OR AFTER 30 OCT 2000. (THIS INCLUDES DRILLS, APPROPRIATE DUTY, CORRESPONDENCE AND MEMBERSHIP POINTS.) FOR ANNIVERSARY YEARS ENDING PRIOR TO 30 OCT 2000 THE MAXIMUM IDT TOTAL IS 75 POINTS. PRIOR TO 23 SEP 1996 THE MAXIMUM IDT TOTAL IS 60 POINTS. IF YOU HAVE ANY QUESTIONS REGARDING CREDIT FOR RETIREMENT, PLEASE SEE THE RESERVE POLICY MANUAL COMDTINST M1001.28. THE WEB SITE LOCATION IS:

[HTTP://WWW.USCG.MIL/HQ/RESERVE/PUBS/RPM/RPMHOME.HTM](http://www.uscg.mil/hq/reserve/pubs/rpm/rpmhome.htm).

PLEASE VERIFY EACH ENTRY OF THE STATEMENT CAREFULLY, INCLUDING YOUR MAILING ADDRESS, CORRESPONDENCE, ADT AND IDT. IF YOU FEEL IT IS INCORRECT, YOU MUST NOTIFY YOUR SERVICING PERSRU WITHIN 45 DAYS. YOU SHOULD HIGHLIGHT THE DISCREPANCIES, AND PROVIDE SUPPORTING DOCUMENTATION (COPIES OF PAID ORDERS, YOUR LES, OR COURSE COMPLETION LETTERS) WITH YOUR LETTER TO YOUR SERVICING PERSRU. INCLUDE A WRITTEN EXPLANATION OUTLINING THE DISCREPANCY.

U.S. Department
of Transportation

United States
Coast Guard



COMMANDING OFFICER
USCG HUMAN RESOURCES
SERVICE & INFORMATION CENTER

444 SE QUINCY ST
TOPEKA KS 66683-3591
Staff Symbol: (RAS)
Phone: 1 800 772-8724
Fax: (785) 339-3770
Email: HRSIC-RAS@HRSIC.USCG.mil

1820
4 Jun 2002

MEMORANDUM

From: BOBBY L. DEES, YN1, USCG
CG HRSIC (RAS)

Reply to
Attn of:

To: YN3 [REDACTED] USCGR

Subj: INFORMATION CONCERNING RETIREMENT WITH PAY (RET-1)

Ref: (a) The Coast Guard Correspondence Manual, COMDTINST M5216.5

1. Our records indicate that you will reach age sixty (60) on **8 June 2040** and you may become eligible for retired pay effective on that date. However, before your retirement can be processed, you must apply for retired pay by completing the Reserve Retirement Transfer Request (CG-HRSIC-2055A) (enclosure 1). This application for retired pay benefits serves as your formal retirement request.
2. How To Apply For Retired Pay & Survivor Benefit Plan Guide (enclosure 2) contains the Coast Guard & NOAA Retired Pay Account Worksheet and Survivor Benefit Plan Election form (CG HRSIC 4700). It is used to establish your retired pay account. Part VI, Survivor Benefit Plan (SBP) Election of CG HRSIC 4700 must be completed if: (1) you did not submit a Reserve Component Survivor Benefits Plan (RCSBP) Election Certificate when you received your 20 year letter, or (2) upon reaching retirement eligibility you did complete, but you elected Option A. The CG HRSIC 4700 must be completed and returned to Coast Guard Human Resources Service and Information Center (RAS) no later than 90 days from receipt of this letter. Please note, the signature of the witness in Part VII, SBP Spousal concurrence, must be a person other than a beneficiary. **Please enclose a copy** of your RET-2 or 20 Year Satisfactory Service points statement, and Reserve Component Survivor Benefit Plan Election Certificate that you completed upon attaining satisfactory federal service. This information will help speed up the processing of your retired pay file.
3. Upon your retirement and receipt of retired pay compensation you are no longer eligible for Servicemembers' Group Life Insurance (SGLI). You may convert your SGLI to Reserve-Retired Veterans Group Life Insurance (VGLI) within 120 days of retirement. The Office of Servicemembers' Group Life Insurance (OSGLI) should send you information and application forms for conversion. If you do not hear from OSGLI and you desire to convert to Reserve-Retired VGLI, you may write to OSGLI at the following address for an application and information:

OFFICE OF SERVICE MEMBERS GROUP LIFE INSURANCE
213 WASHINGTON ST
NEWARK NJ 07102-2999

1820
4 Jun 2002

Subj: INFORMATION CONCERNING RETIREMENT WITH PAY (RET-1)

4. If you feel you need additional information in completing CG HRSIC 4700, you may call Coast Guard Human Resources Service and Information Center (RAS) at 1-800-772-8724. When the completed forms are received, we will process your retirement with pay.

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Enclosures: (1) Reserve Retirement Transfer Request (CG-HRSIC-2055A)
(2) How To Apply For Retired Pay & Survivor Benefit Plan Guide
(3) Annual Point Statement (CG-4175A)